FAQs - Call for Decade Actions No. 05/2023

This document has been provided as a supplement to 'Guidance Note for Applicants' and the online submission forms. In the case of any discrepancy, those documents should be taken as the definitive guidance. This document will be updated as necessary during the Call period so please check back from time to time.

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 - Call for Decade Actions No. 05/2023

1. What is being solicited through Call for Decade Actions No. 05/2023?

This Call for Decade Actions, which is the third of a series that will be launched every 6 months as part of the Ocean Decade, focuses specifically on:

- Ocean Decade Programmes: Call for Decade Actions No. 05/2023 has a primary focus on programmes that contribute to Ocean Decade Challenge 1 Marine Pollution, with a focus on sub-themes of plastic pollution and nutrient pollution, or Challenge 2 Ecosystem Restoration and Management, with a focus on sub-themes of area-based management, restoration and multiple ocean stressors. Programmes that contribute primarily to other Ocean Decade Challenges can complete an Expression of Interest form to allow guidance to be provided on their suitability for the current Call for Decade Actions.
- Ocean Decade Projects: At the project level the Call is soliciting initiatives that contribute to one of 18 endorsed Decade Programmes participating in the Call for Decade Actions.
- Ocean Decade Contributions of in-kind or financial resources to support Ocean Decade coordination or Action costs. For this Call, is specifically focusing on Decade Contributions to create new Decade Collaborative Centres

and/or Decade Coordination Offices related to: (i) Marine Pollution, (ii) Ecosystem Restoration and Management and (iii) Sustainable Blue Food.

Please note that you must be a member of the Ocean Decade Network to access the full Call documentation and to submit your application. We strongly encourage you to register on the Network as soon as possible via this link: forum.oceandecade.org.

2. When will the Call close?

There are different closing dates for different elements of the Call. The key dates to remember are:

- 30 May 2023 23.59h UTC: Submission of Expression of Interest for programmes that do not primarily contribute to Challenges 1 or 2.
- 31 August 2023 23:59h UTC: Submission of full applications for all Decade programmes and projects.

3. What is the Expression of Interest form process?

Expressions of Interest can be submitted for programme submissions that have a primary focus on Ocean Decade Challenges other than Challenges 1 or 2.

Submissions to the Expression of Interest form will be accepted until 23:59h UTC 30th May 2023. At this point they will be reviewed by the DCU for eligibility as programmes, and alignment with the endorsement criteria. The summaries of this review will be presented to the DAB who will determine which submissions may continue their application in full. A response will be provided by 15 June 2023. Proponents will have until 31st August 2023 to make a full submission if their Expression of Interest is approved by the DAB.

4. Where can I find partners for my proposed Decade Action?

The Ocean Decade Network is a good starting point for finding partners. You can find information there on National Decade Committees and Regional Taskforces and post a message in the Network soliciting partners. OceanExpert at www.oceanexpert.org is another useful resource.

5. How can partners in SIDS, Africa and Least Developed Countries receive support to submit a Decade Action?

Partners in SIDS, LDCs or Africa can email oceandecade@unesco.org with "Support for Call for Decade Actions No. 05/2023" in the subject line to discuss support possibilities.

Introduction to Decade Actions

6. What is a Decade Action?

Decade Actions are the tangible initiatives that will be carried out to fulfil the Decade's priorities. They will take several different forms:

- Programmes are large-scale, multi-year and typically multi-country initiatives
 that will be made up of different projects, activities and contributions.
 Programmes will be implemented on the global or regional scale and will
 typically be interdisciplinary. The following criteria can be used as a guide to
 determine whether your initiative is a programme:
 - Scale: global, or for regional programmes covering at least one full ocean basin
 - o **Duration:** at least 4 years
 - O Disciplines: evidence of multi-disciplinary approach and team
 - o Partners: international group of partners
 - Funding: funding at least partially identified or, clear indication of where funding will be sought
 - Lead partner: international scope and experience that matches ambition of program
 - o Capacity development: includes element of capacity development
 - Scope for growth: demonstrates an ability to grow and expand over time and incorporate new partners or geographies
 - 'Business as usual' sanity check: If this is an existing initiative, does the submission focus on new, transformative and innovative aspects and propose an evolution of the existing initiative?
- Projects are shorter, more focused initiatives that will typically, but not always, form part of different Programmes endorsed as part of the Decade.
- Activities are one-off activities such as training events, workshops, conferences, or communication campaigns. They can be part of a Programme or standalone activities that contribute to an Ocean Decade Challenges or Ocean Decade outcomes
- **Contributions** are in-kind or financial resources that are provided to a Decade Action or to support the coordination functions of the Decade.

7. What is the Ocean Decade Implementation Plan and where can I find it?

The <u>Ocean Decade Implementation Plan</u> is the guiding document for the Ocean Decade. It is a non-prescriptive framework that identifies the priorities and guiding principles for the Decade, as well as the desired outcomes at the end of the Decade. It also provides information on how people can participate in Decade activities, how Decade activities will be financed, who will coordinate the Decade and how the impact of the Decade will be measured.

The Implementation Plan was presented to the United Nations at the 75th Session of the General Assembly in 2020 and can be found, together with a summary version in several language versions on the Ocean Decade website.

8. Why should I seek endorsement of my initiative as a Decade Action?

Endorsement of an initiative as a Decade Action provides official recognition that the initiative is part of a collective effort to meet the Ocean Decade vision. Proponents of endorsed Decade Actions will have the opportunity to join a highly visible, shared, global effort that builds on decades of achievement in ocean science. There will be opportunities to create new collaborations across disciplines, geographies and generations, as well as opportunities to access new sources of support.

9. Can my Action be moved from one category to another during the course of the Decade?

Yes. This is either through evolution of the action by the proponent themselves (i.e. a project expands partnerships and geographic scope to become a programme) OR through assessment by the DCU (i.e. a programme submission is reviewed and identified as more in line with project scope).

Eligibility

10. Who can carry out and seek endorsement for a Decade Action?

There is no restriction on who can propose a Decade Action for endorsement or registration. We expect that a wide range of groups including research institutes, governments, UN bodies, NGOs, community groups, philanthropic Foundations, business and industry and individuals will carry out Decade Actions. The lead proponents' capacity and experience in leading large programmes and projects will be reviewed.

11. Are ongoing or existing initiatives eligible for endorsement as Decade Actions?

Yes. However, proponents will need to clearly describe how their initiative meets the Decade endorsement criteria and how the proposed Decade Action represents transformative ocean science, including moving past 'business as usual'.

12. My proposal is a certification or awarding scheme. Am I eligible to submit as a Decade Action?

No. This limitation is due to the fact that third parties do not have the authority to allow logo use beyond themselves, nor are they permitted to encourage other organizations to claim affiliation with the Decade. Certification and awarding schemes are too close to this scenario. If you conduct science-based project work around methodologies or best practices related to award schemes, these may be eligible to be submitted as Decade Actions

Endorsement Process

13. How long will the endorsement process take?

The first decisions on endorsement under the current Call for Decade Actions will be made in the first half of 2024. Depending on the type and complexity of the Decade Action you have submitted the final decision may come in the end of 2024. Ensuring that you provide accurate contact information in your submission will mean that we can keep you updated on the status of your submission.

14. What are the endorsement criteria for Decade Actions?

When requesting endorsement, proponents of proposed Decade Actions need to demonstrate how they fulfill those criteria in the following list that are relevant to their proposed Decade Action:

- Contribute to fulfilling the Ocean Decade Challenges and to achieving the Decade objectives and the associated sub-objectives.
- ii. Accelerate the generation or use of knowledge and understanding of the ocean, with a specific focus on knowledge that will contribute to the achievement of the SDGs and complementary policy frameworks and initiatives.
- Are co-designed or co-delivered by knowledge generators and users, and thus facilitate the uptake of science and ocean knowledge for policy, decision making, management and/or innovation.
- iv. Ensure that all data and resulting knowledge are provided in an open access, shared, discoverable manner in accordance with the provisions of UNCLOS, and are appropriately deposited in recognized data repositories consistent with the IOC Oceanographic Data Exchange Policy or the relevant UN subordinate body data policy.
- v. Strengthen existing or create new partnerships across nations and/or between diverse ocean actors, including users of ocean science.
- vi. Contribute toward capacity development, including, but not limited to, beneficiaries in SIDS, LDCs and LLDCs.
- Overcome barriers to diversity and equity, including gender, generational, and geographic diversity.
- viii. Collaborate with and engage local and indigenous knowledge holders.

15. If I am submitting a Programme for endorsement, do all the component projects need to be identified before submission?

No. However, you should be able to describe the types of projects that will form part of the Programme, the timing and process to identify projects, and the governance or coordination structure for the Programme and its future projects.

16. Can I still submit a request for endorsement even if I don't fulfill all the endorsement criteria?

Yes. Proponents will be asked to explain how their Decade Action supports all the endorsement criteria. However, detailing a justification of why one or more criteria is not applicable is acceptable.

17. Who will decide if I receive endorsement?

The endorsement process that will apply to the Decade Actions solicited under this Call is as follows:

For Decade Programmes: The Decade Coordination Unit will organise a first technical review of the submissions that will be presented to the Decade Advisory Board. The Board will review and make recommendations on endorsement and this information will inform the Executive Secretary of the IOC who will take the decision on endorsement of the programme. As part of its regular reporting to the IOC Governing Bodies, the Decade Coordination Unit will provide information on the endorsement decisions of the Executive Secretary.

- For Decade Projects: For projects that are being solicited by endorsed Decade programmes, the Decade Coordination Unit will organise a first technical review of the submissions and will seek the advice of the relevant endorsed programme on the submission. This information will inform the Executive Secretary of the IOC who will take the decision on endorsement of the programme. As part of its regular reporting to the IOC Governing Bodies, the Decade Coordination Unit will provide information on the endorsement decisions of the Executive Secretary.
- For Decade Contributions: The Decade Coordination Unit will carry out a technical review of the submissions and provide this information to the Executive Secretary of the IOC who decide on endorsement.

18. Will I be notified if my request is refused?

Yes. All proponents who have submitted requests will be notified of the outcome. Where possible, we will aim to provide information on why the request was refused and recommendations to improve the alignment of the initiative with the Decade vision.

19. Can I resubmit my request if it is refused?

Yes. Where possible, when requests are refused, we will aim to provide information on why the request was refused and recommendations to improve the alignment of the initiative with the Decade vision.

20. If I am not ready for this Call for Decade Actions, will I have another chance to submit?

Yes. Calls for Decade Actions will be launched every 6 months throughout the Decade. The next Call will be launched on 15 April 2024.

21. Do I need approval from the national Government (e.g. the IOC focal point) before submitting my request for endorsement?

No.

22. Do United Nations bodies need to get endorsement for their Decade Actions?

United Nations bodies do not need to apply for endorsement. UN agencies wishing to submit a Decade Action can register their Decade Actions via a separate process at any time. The registration form can be found by contacting oceandecade@unesco.org

23. What happens once my Decade Action is endorsed?

Once a Decade Action is endorsed it will be part of a collective, global effort to fulfil the vision of the Decade. Once it is endorsed the proponent can use the logo of the Decade when communicating about their initiative in accordance with the Ocean Decade Brand Guidelines. Each Action is responsible for its own coordination which includes liaising with Decade structures. Proponents will be asked to sign a charter upon endorsement outlining expectations and provide simple annual reporting on the progress of their

Action via an online template. Examples of the Charters for Endorsed Projects and Programmes which provide more information on the roles and responsibilities of Decade Actions are provided via the link in the "Guidance Note for Applicants".

24. Do projects need to be associated with programmes?

Typically, projects will be nested under currently endorsed programmes.

Funding

25. How can I get funding for my Decade Action?

The Ocean Decade is not a financing mechanism, but it can help proponents of Decade Actions that do not already have financing for their work to make connections to potential funders via the Ocean Decade Alliance, the Foundations Dialogue, or the Ocean Decade Network. Further information on these structures is provided in the Ocean Decade Implementation Plan. When a request for endorsement is submitted, proponents will be asked to indicate if they have secured all the necessary funding for implementing the Action and endorsed Decade Actions will provide regular updates of funding needs.

26. Do I need to have secured funding before I submit my request for endorsement?

No, although it is expected that some funding has already been secured. Within your submission you will be asked to indicate if you have secured any or all of the funding for your proposed Decade Action and if you need support to find additional funding.

Coordination Structures

27. What is the Decade Advisory Board and who is on it?

The Decade Advisory Board is an advisory body to the IOC Executive Secretary and the IOC Governing Bodies and will provide strategic advice and recommendations for the implementation of the Ocean Decade including advice on the endorsement of Decade Programmes. The Board is comprised of 15 members and representatives of UN entities and experts from relevant scientific disciplines, as well as from stakeholder groups. You can find more information <a href="https://example.com/here/beta-figures-recommended-scientific-disciplines-scientific-disciplin

28. What is the Decade Coordination Unit and where is it based?

The Decade Coordination Unit is the central coordination structure of the Decade. It is based within the IOC-UNESCO Headquarters in Paris. Its three main functions are to act as the: (i) primary coordination office for the implementation of Decade Actions; (ii) Secretariat for the Decade Advisory Board; and (iii) Secretariat for the Ocean Decade Alliance.

Communities of Practice and the Ocean Decade Network

29. What are Communities of Practice and how are they organized?

<u>Communities of Practice</u> allow Decade Actions working on similar themes or in similar geographies to coordinate and optimize their collective impact throughout the Decade. Communities of Practice are a way of loosely organizing and making legible a very complex landscape throughout the Decade. There are both thematic (i.e. based around the Ocean Decade Challenges) and geographic (i.e. based around major ocean basins). They allow partners to work together - when it is advantageous - to carry out a range of essential functions including:

- Identification of thematic or geographic gaps and priority needs, leading to input to the design of future Call for Decade Actions
- Monitoring and reporting the impact of Decade Actions
- Communications and outreach
- Resource mobilization
- Information exchange
- Development of joint initiatives and partnerships
- Joint work planning to avoid duplication and optimize use of resources

Communities of Practice also allow a simpler entry point for the Decade coordination structures and other stakeholders wishing to engage with a group of partners working on similar issues. Rather than being viewed as strict and solid boundaries between different silos of work, they can be thought of as dotted, fluid lines around a group of related Decade Actions. This group of Actions can interact and overlap with other related Communities of Practice. They will not dilute the individual identity of Decade Actions, nor change the internal operation of Decade Actions. Partners can choose to be part of more than one Community of Practice. Exchanges across Communities of Practice will be encouraged and facilitated through Decade mechanisms.

30. Can I sign up for more than one Community of Practice?

Yes, once endorsed, proponents of Decade Actions are welcome to sign up for more than one Community of Practice.

31. What is the Ocean Decade Network?

The Ocean Decade Network provides a convening and exchange mechanism for all stakeholder engagement networks. The Network is an inclusive, interactive online platform for anyone working with in or interested in oceans. It allows members to post collaboration ideas and opportunities, invite membership or participation according to their defined processes, raise awareness and seek partners for national or regional Ocean Decade programmes or projects, hold virtual meetings or webinars to share knowledge and collaborate, and to raise awareness regarding their activities. Register for the Network here: forum.oceandecade.org/signup

You will need to be registered on the Network to access the full Call documentation and submit your proposed Decade Action.

Comentado [NE1]: could someone please delete this link? I can't seem to do it

Comentado [LM2R1]: editing on sharepoint is terrible - what I have been doing is to download to the desktop, delete there, and the reupload to sharepoint. You can try t 'open on desktop" version, which makes you open in your own Word in your computer, but when you save/close, it

Comentado [NE3R1]: OK, I'll try that, thanks!

• Communications and Branding

32. Once my initiative is endorsed can I use the Ocean Decade logo in my communications?

Yes, but only in accordance with the Ocean Decade Brand Guidelines which will be provided, along with the logo files, following endorsement. Decade Actions are only allowed to use the logo for their own activities. They may not grant the use of the logo to projects sitting under their Programme or to any other third party. Further information will be provided on endorsement of your Decade Actions.

33. How can I get regular updates on what is happening during the Ocean Decade?

The latest news from the Decade Coordination Unit and endorsed Decade Actions are showcased on the Ocean Decade website at www.oceandecade.org. You can also subscribe to our newsletter to receive updates straight to your inbox.

You are warmly invited to follow the social media accounts of the Decade and IOC/UNESCO:

Twitter: @UNOceanDecade Instagram: @UNOceanDecade Facebook: @oceandecade Twitter: @IocUnesco Instagram: @ioc_unesco Facebook: @IocUnesco

And remember to join the Ocean Decade Network at forum.oceandecade.org!